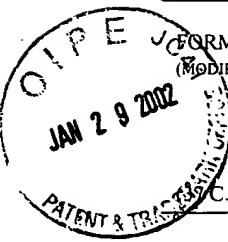


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C.F.R. § 1.98(b))

ATTY. DOCKET NO. 53087-5002	SERIAL NO. 09/841,732
APPLICANT Gunter, et al.	
FILING DATE April 25, 2001	GROUP

### U.S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER								ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
A	6	2	1	6	1	1	6		04/10/01	Barkan, et al.	705	76	
B	5	6	5	9	6	1	6		08/19/97	Sudia	380	23	
C	5	9	0	3	8	8	2		05/11/99	Asay et al.	705	44	
D	5	9	4	3	4	2	3		08/24/99	Muftic	380	25	
E	5	9	7	8	4	8	4		11/02/99	Apperson et al.	380	25	
F	5	9	6	0	0	8	5		09/28/99	De la Huerga	380	25	
G	6	0	0	3	0	1	4		12/14/99	Lee et al.	705	13	
H	5	9	0	1	2	8	4		05/04/99	Hamdy-Swink	395	186	
I	5	8	7	2	8	4	8		02/16/99	Romney et al.	380	25	
J	5	7	8	4	4	6	3		07/21/98	Chen et al.	380	21	
K	5	7	6	1	3	0	9		06/02/98	Ohashi et al.	380	25	

### FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

### OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

L		Blaze, et al., "THE KEYNOTE TRUST-MANAGEMENT SYSTEM VERSION 2", Internet Society, September 1999, pp. 1-32.
M		Gunter, et al., "POLICY-DIRECTED CERTIFICATE RETRIEVAL", Software-Practice & Experience, September 21, 2000, Vol. 30, pp. 1609-1640.
N		Gunter, et al., "GENERALIZED CERTIFICATE REVOCATION", ACM Symposium On Principles Of Programming Languages, January 2000, pp. 1-14.

EXAMINER	DATE CONSIDERED
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**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		PATENT NUMBER								ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>DPD</i>	O	5	7	5	7	9	2	0	05/26/98	Misra et al.	380	25		
	P	5	7	5	4	6	5	4	05/19/98	Hiroya et al.	380	24		
	Q	5	6	9	4	4	7	1	12/02/97	Chen et al.	380	25		
	R	5	4	1	2	7	1	7	05/02/95	Fischer	380	4		
	S	5	3	3	9	4	0	3	08/16/94	Parker	395	425		
	T	5	2	6	1	0	0	2	11/09/93	Perlman et al.	380	30		
	U	5	3	1	5	6	5	7	05/24/94	Abadi et al.	380	25		
	V	5	2	1	4	7	0	2	05/25/93	Fischer	380	30		
	W	4	8	6	8	8	7	7	09/19/89	Fischer	380	25		
	X	4	8	1	6	6	5	5	03/28/89	Musyck et al.	235	380		
	Y	5	6	1	5	2	6	8	03/25/97	Bisbee et al.	380	25		

**FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION**

		DOCUMENT NUMBER								PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
														YES	NO

**OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)**

<i>DPD</i>	Z	Kakkar, et al., "CERTIFICATE DISTRIBUTION WITH LOCAL AUTONOMY", International Workshop on Active Networks, October 2000, pp. 1-19.
<i>DPD</i>	AA	Blaze, et al., "DECENTRALIZED TRUST MANAGEMENT", Proc. IEEE Conference on Security and Privacy, May 1996, pp. 1-23
<i>DPD</i>	BB	Herzberg, et al., "ACCESS CONTROL MEETS PUBLIC KEY INFRASTRUCTURE, OR: ASSIGNING ROLES TO STRANGERS", pp. 1-13.

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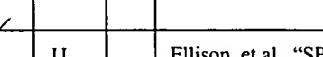
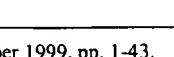
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## **U.S. PATENT DOCUMENTS**

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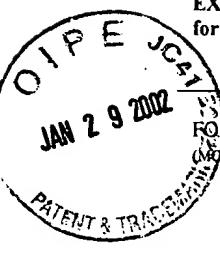
	HH	Rivest, et al., "SDSI – A SIMPLE DISTRIBUTED SECURITY INFRASTRUCTURE", April 30, 1996, pp. 1-37.
	II	C. Ellison, "SPKI REQUIREMENTS", The Internet Society, September 1999, pp. 1-14.
	JJ	Ellison, et al., "SPKI CERTIFICATE THEORY", The Internet Society, September 1999, pp. 1-43.
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Page 3 of 3



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	<b>FILING DATE</b>	<b>GROUP</b>

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION**

**OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)**

<i>BB</i>	KK	Muller, "BLUETOOTH SECURITY ARCHITECTURE", Bluetooth White Paper, July 15, 1999, pp. 1-33.
<i>BB</i>	LL	Mettala, "BLUETOOTH PROTOCOL ARCHITECTURE", Bluetooth White Paper, September 29, 1999, pp. 1-20.
<i>BB</i>	MM	Maywah, "AN IMPLEMENTATION OF A SECURE WEB CLIENT USING SPKI/SDSI CERTIFICATES", S.B. Massachusetts Institute of Technology, May 2000, pp. 1-76.
<i>BB</i>	NN	Dan Backman, "SMARTCARDS: THE INTELLIGENT WAY TO SECURITY", Network Computing, May 15, 1998, pp. 170-171.

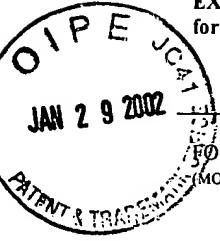
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6/14/04  
Page 4 of 5

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## U.S. PATENT DOCUMENTS

**FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION**

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION
							YES NO

**OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)**

	OO	Ellison, <a href="http://world.std.com/~cme/">http://world.std.com/~cme/</a> , July 21, 2001, pp. 1-3
	PP	IEEE, <a href="http://www.ieee.org">www.ieee.org</a> , 13 pages
	QQ	Infrared Data Association, <a href="http://www.irda.org">www.irda.org</a> , 93 pages

**EXAMINER**

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PTO/SB/08B (10-01)

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Sheet	/	of	/
		Examiner Name	
		Attorney Docket Number	

**OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue numbers, publisher, city and/or country where published.	T2
B P	A	MICROSOFT .NET PASSPORT Review Guide, "THE WHY, WHAT AND HOW OF MICROSOFT .NET PASSPORT", Published: March 2002, pp. 1-50.	
B P	B	.Net Passport OVERVIEW: 13/http://www.microsoft.com/my/services/passport/overview.asp, pp.1-7 21/http://www.microsoft.com/my/services/passport/overview.asp, pp. 1	

Examiner Signature		Date Considered	6/14/04
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE  
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(37 C.F.R. § 1.98(b))

ATTY. DOCKET NO.  
053087-5002

SERIAL NO.  
09/841,732

APPLICANT  
Gunter et al.

FILING DATE  
April 25, 2001

GROUP

**U.S. PATENT DOCUMENTS**

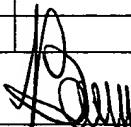
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	B	5	5	3	0	2	3	5	06/25/96	Stefik et al.			
	C	6	4	4	6	2	5	3	09/03/02	Mellmer			
	D	5	5	8	3	9	9	3	12/10/96	Foster et al.			
	E	6	3	9	3	5	6	5	05/21/02	Lockhart et al.			
	F	6	4	3	8	6	0	0	08/20/02	Greenfield et al.			
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	J	0	0	0	7	3	1	7	01/17/02	Callagahn et al.			
	K	0	1	2	8	9	0	3	09/12/02	Kernahan			
	L	0	0	3	2	6	2	6	03/14/02	DeWolf et al.			

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**FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION**

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION YES      NO

**OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)**

	M	Tuomas Aura, "Distributed Access-Rights Management With Delegation Certificates", Secure Internet Programming (LNCS), 1999 Springer-Verlag, pp. 1-25.
EXAMINER		DATE CONSIDERED 

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



MAY 12 2003

<p align="center"><b>FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (MODIFIED) PATENT AND TRADEMARK OFFICE</b></p> <p align="center"><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)</b></p> <p align="center">(37 C.F.R. § 1.98(b))</p>	<p align="center"><b>ATTY. DOCKET NO. 053087-5002</b></p> <p align="center"><b>SERIAL NO. 09/841,732</b></p> <p align="center"><b>APPLICANT Gunter et al.</b></p> <p align="center"><b>FILING DATE April 25, 2001</b></p> <p align="center"><b>GROUP</b></p>
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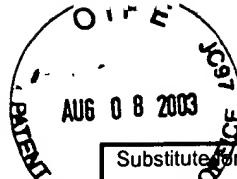
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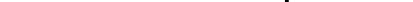
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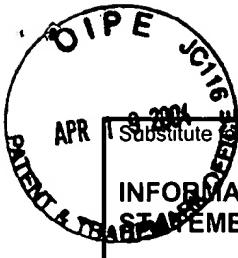


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Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)				<b>Complete if Known</b>	
		<b>Application Number</b>		09/841,732	
		<b>Filing Date</b>		April 25, 2001	
		<b>First Named Inventor</b>		Gunter et al.	
		<b>Group Art Unit</b>			
		<b>Examiner Name</b>			
Sheet	1	of	1	<b>Attorney Docket Number</b>	
053087-5002					

FOREIGN PATENT DOCUMENTS					
Exr Initials	Foreign Patent Document			Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
	Country Code	Number	Kind Code (if known)		

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APR 19 2004

Substitute for form 1449A/PTO-1				Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)				Application Number	09/841,732
				Filing Date	April 25, 2001
				First Named Inventor	Gunter et al.
				Group Art Unit	
				Examiner Name	Technology Center
Sheet	1	of	1	Attorney Docket Number	053087-5002

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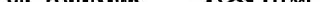
## **U.S. PATENT DOCUMENTS**

Exr Initials	U.S. Patent Document		Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
	Number	Kind Code (if known)		
RJ	6,411,605	B1	Vance et al.	06/25/02
	5,872,841		King et al.	02/16/99
	6,577,949	B1	Robinson et al.	06/10/03
	6,567,075	B1	Baker et al.	05/20/03
	6,031,904		An et al.	02/29/00
	5,689,642		Harkins et al.	11/18/97
	6,560,581		Fox	05/2003
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	6,061,448		Smith	05/2000
	6,651,166		Smith	11/2003
	6,430,688		Kohl	08/2002
	6,347,373		Hoepman	02/2002
	6,343,361		Nendell	01/2002
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	2002/0162019	A1	Berry	10/2002

## **FOREIGN PATENT DOCUMENTS**

Exr Initials	Foreign Patent Document			Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sub>1</sub>
	Country Code	Number	Kind Code (if known)			

#### **OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Examiner Signature		Date Considered	
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